

15541 U.S. PTO
08/22/03

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No. KLAC0076
Date: August 22, 2003
Express Mail No. EU 490 684 275 US

03945 U.S. PTO
10/646073
08/22/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING APPLICATION

Sir:

Transmitted herewith for filing is the patent application of:

Inventors: **David R. Shafer, Yung-Ho Chuang, and J. Joseph Armstrong**

For: CATADIOPTIC IMAGING SYSTEM FOR BROAD BAND MICROSCOPY

Enclosed are:

X Original Patent Application (56 pages of specification; 66 claims; 1 pages of abstract)

X 12 sheets of drawings (formal X informal)

X Unexecuted Declaration and Power of Attorney

 An assignment of the invention to KLA-Tencor Technologies Corporation.

 An associate power of attorney.

 A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.

 Certified Copy of Patent Application No. filed from which priority is claimed under 35 USC 119.

☐ IDS enclosed (☐ with references).

☒ Nonpublication Request

☒ Return Postcard

Priority

☒ **DOMESTIC/INTERNATIONAL** priority is claimed under 35 U.S.C.
199(e)/120/365(c) based on the following provisional, nonprovisional, and/or PCT
international applications:

	Application Number	Filing Date
(1)	10/434,374	May 7, 2003
(2)	60/449,326	February 21, 2003

☐ **FOREIGN** priority is claimed under 35 U.S.C. 119(a)-(d)/365(b) based on filing
in:

	Application Number	Filing Date
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X The filing fee based is calculated below:

Item	No. Filed	No. Extra	Small Entity Rate	Large Entity Rate	
Total	66	-20	46	x \$9	x \$18 \$828
Independent	5	-3	2	x \$42	x \$84 \$168
Basic Fee	Small Entity: \$375.00 Large Entity: \$750.00				\$750
<u>Multiple Dependent claims</u>			+ \$130	+ \$260	\$0
TOTAL FEE DUE					\$1746.

List Independent Claims: 1, 24, 43, 55, 65

— Please charge my Deposit Account No. 502026 the amount of \$0. A copy of this letter is enclosed.

— A check in the amount of \$0 to cover the filing fee is enclosed.

— A check in the amount of \$0 to cover the Assignment Recordation Fee is enclosed.

X The Commissioner is hereby authorized to charge payment of the following fees or credit any overpayment during the pendency of this application to Deposit Account 502026.

— Any additional patent application processing fees under 37 CFR 1.17 beyond those provided.

— The Issue Fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance pursuant to 37 CFR 1.311(b)

— Any filing fees under 37 CFR 1.16 for presentation of extra claims beyond those provided.

U.S. Express Mail Mailing Label EU 490 684 275 US
Shafer et al.

Atty. Docket KLAC0076
Filed: August 22, 2003

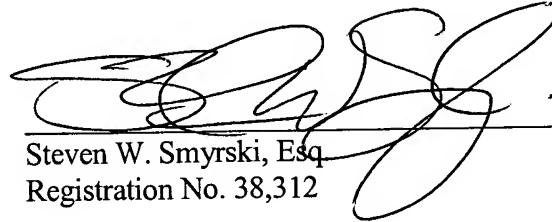
X Address all future communications to:

Steven W. Smyrski, Esq.
Smyrski & Livesay, LLP
3310 Airport Avenue, SW
Santa Monica, CA 90405-6118

PTO Customer No. 30438

Respectfully submitted,

Date: August 22, 2003



Steven W. Smyrski, Esq.
Registration No. 38,312

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3310 Airport Avenue, SW
Santa Monica, California 90405-6118
Phone: 310.397.9118
Fax: 310.397.9158

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

DAVID R. SHAFER, ET AL.

Title: CATADIOPTRIC IMAGING SYSTEM FOR
BROAD BAND MICROSCOPY

Serial No.: Unassigned

Filed: Concurrently

Group Art Unit: Unassigned

Examiner: Unassigned

NONPUBLICATION REQUEST UNDER RULE 213(a)

The attached new application is **NOT TO BE PUBLISHED** under 35 U.S.C. § 122(b).

I hereby certify that the invention disclosed in the attached new application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, requiring publication at eighteen months after filing.

This request is signed in compliance with the provisions of Rule 33(b).

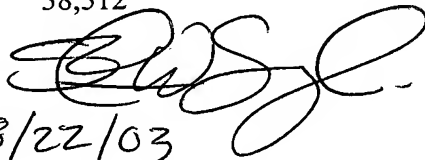
Respectfully Submitted

SMYRSKI & LIVESAY, LLP

By Atty: Steven W. Smyrski

Reg. No. 38,312

Signature:



Date: 8/22/03

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
DAVID R. SHAFER, ET AL.
Title: CATADIOPTRIC IMAGING SYSTEM FOR
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Serial No.: Unassigned
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Dear Sir:

I hereby certify that the following:

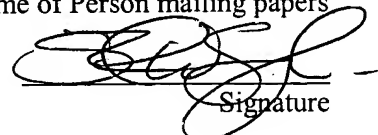
X Request for Filing
— Check in the amount of \$0 as a filing fee
X Patent application (56 pages of specification; 66 claims; 1 pages of abstract)
X 12 sheets of informal drawings
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X Nonpublication Request
X Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and addressed to:

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AUGUST 22, 2003
Date of Deposit

Steven W. Smyrski
Name of Person mailing papers


Signature